IJ l. L ATTORNEY DOCKET NO.: 053933-5016

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner of Patents **BOX PATENT APPLICATION** Washington, D.C. 20231



# TRANSMITTAL FOR A NEWLY EXECUTED ORIGINAL APPLICATION UNDER 37 C.F.R. §1.53(b)

This is a request for filing a patent application under 37 C.F.R. §1.53(b) for:

Inventors: Ho-Seop JEONG, Chon-Su KYONG and Seung-Man OH

For: OPTICAL PICKUP DEVICE

[X]

1.	This is a new [X] Utility [ ] Design [ ] Plant patent application.
2.	The papers enclosed to obtain a filing date are as follows:
	24 Pages of Specification including  Title Page 6 Pages of Claims 1 Page of Abstract 8 Sheets of drawings containing 9 figures [ ] The enclosed drawings are photographs, and there is also attached a PETITION TO ACCEPT PHOTOGRAPH(S) AS DRAWING(S)
3.	Combined Declaration and Power of Attorney

Enclosed and is executed by all inventors

[ ]	Not Enclosed.
	This application is being filed under the provisions of 37 C.F.R. §1.53(f).
	Applicant(s) await notification from the Patent and Trademark Office of
	the time set for filing the Declaration and paying the filing fees.

4.	Language

[X]	English
[4x]	Liigiidi

[]

Non-English
This application is being filed in accordance with 37 C.F.R. §1.52(d) and §608.01 of the MPEP. Applicant(s) await notification from the Patent and Trademark Office of the time set for filing the verified English translation and the processing fee.

### 5. Assignment

- [X] An assignment of the invention to <u>Samsung Electro-Mechanics Co., Ltd.</u> and a PTO Form-1595, Recordation Form Cover Sheet, are enclosed.
- [ ] An assignment will be filed at a later date.
- 6. Priority foreign applications under 35 U.S.C. §119(a)-(d) or §365(b) or PCT international applications under 35 U.S.C. §365(a) designating at least one country other than the U.S.

[X] Priority of the following foreign application is claimed:

Country	Application No.	Filed
Korea	2001-49687	August 17, 2001

Certified copy: [X] is attached. [ ] will follow.

7. Priority based on provisional application(s) - 35 U.S.C. §119(e)

Priority of the following provisional application(s) is claimed:

Application No.	Filed

# A. Relate Back - 35 U.S.C. §119(e)

ſΊ	Amend	the specification	on by inserting before the first line the sente	ence:
	"This a	pplication clair	ns priority of copending provisional applica	ition(s)
	No	filed on	"	

### 8. Small entity status

[ ] A statement claiming small entity status under 37 C.F.R. §1.27 is asserted for the present application.

9. Fee Calculation (37 C.F.R. §1.16)

	Number Filed	Number Extra	at Rate of	Basic Fee Utility \$740.00 Design \$330.00
Total Claims (37 C.F.R. §1.16(c))	22 - 20 =	2	18.00	\$36.00
Independent Claims (37 C.F.R. §1.16(b))	5 - 3 =	2	84.00	\$168.00
Multiple dependent claim	n(s), if any (37 C.F.R	R. §1.16(d))	280.00	
			SUB-TOTAL =	\$944.00 =
	R	eduction by 1/2 for fili	ng by a small entity	/
		ТО	TAL FILING FEE	\$944.00

#### 10. Fee Payment

[ ] Not Enclosed. NO FEE IS BEING PAID BY CHECK OR DEPOSIT ACCOUNT AT THIS TIME.

This application is being filed under the provisions of 37 C.F.R. §1.53(f). Applicant(s) await notification from the Patent and Trademark Office of the time set for filing the Declaration and paying the filing fees.

[X] Enclosed.

A check in the amount of \$984.00 representing the basic filing fee of \$740.00, additional claims fee of \$204.00 and an assignment recording fee of \$40.00 is enclosed.

11.	[X]	Except for issue fees payable under 37 C.F.R. §1.18, the Commissioner is
		hereby authorized by this paper to charge any additional fees during the
		entire pendency of this application including fees due under 37 C.F.R.
		§§1.16 and 1.17 which may be required, including any required extension
		of time fees, or credit any overpayment to Deposit Account No. 50-0310.
		This paragraph is intended to be a CONSTRUCTIVE PETITION FOR
		<b>EXTENSION OF TIME</b> in accordance with 37 C.F.R. \$1.136(a)(3).

12.	Additional	papers	enclosed:

[]	Preliminary Amendment
[]	Information Disclosure Statement
[]	Form PTO-1449, document included
[]	Declaration of Biological Deposit
[ ]	Submission of "Sequence Listing", computer readable copy and/or amendment pertaining thereto for biotechnology invention containing nucleotide and/or amino
	acid sequence

Please accord this application an application number and filing date.

Respectfully submitted,

MORGAN, LEWIS & BOCKIUS LLP

Robert J. Goodell Reg. No. 41,040

Dated: November 28, 2001 **Customer No. 009629** MORGAN, LEWIS & BOCKIUS LLP 1800 M Street, N.W. Washington, D.C. 20036 (202) 467-7000